

# Overabundance of $\alpha$ -elements in exoplanet-hosting stars<sup>★</sup>

V. Zh. Adibekyan<sup>1</sup>, N. C. Santos<sup>1,2</sup>, S. G. Sousa<sup>1,3</sup>, G. Israelian<sup>3,4</sup>, E. Delgado Mena<sup>1</sup>, J. I. González Hernández<sup>3,4</sup>, M. Mayor<sup>5</sup>, C. Lovis<sup>5</sup>, and S. Udry<sup>5</sup>

<sup>1</sup> Centro de Astrofísica da Universidade do Porto, Rua das Estrelas, 4150-762 Porto, Portugal  
 e-mail: Vardan.Adibekyan@astro.up.pt

<sup>2</sup> Departamento de Física e Astronomia, Faculdade de Ciências da Universidade do Porto, 4169-007 Porto, Portugal

<sup>3</sup> Instituto de Astrofísica de Canarias, 38200 La Laguna, Tenerife, Spain

<sup>4</sup> Departamento de Astrofísica, Universidad de La Laguna, 38206 La Laguna, Tenerife, Spain

<sup>5</sup> Observatoire de Genève, Université de Genève, 51 Ch. des Mailletes, 1290 Sauverny, Switzerland

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## ABSTRACT

We present the results for a chemical abundance analysis between planet-hosting and stars without planets for 12 refractory elements for a total of 1111 nearby FGK dwarf stars observed within the context of the HARPS GTO programs. Of these stars, 109 are known to harbour high-mass planetary companions and 26 stars are hosting exclusively Neptunians and super-Earths. We found that the  $[X/Fe]$  ratios for Mg, Al, Si, Sc, and Ti both for giant and low-mass planet hosts are systematically higher than those of comparison stars at low metallicities ( $[Fe/H] \lesssim$  from  $-0.2$  to  $0.1$  dex depending on the element). The most evident discrepancy between planet-hosting and stars without planets is observed for Mg. Our data suggest that the planet incidence is greater among the thick disk population than among the thin disk for metallicities below  $-0.3$  dex. After examining the  $[\alpha/Fe]$  trends of the planet host and non-host samples we conclude that a certain chemical composition, and not the Galactic birth place of the stars, is the determining factor for that. The inspection of the Galactic orbital parameters and kinematics of the planet-hosting stars shows that Neptunian hosts tend to belong to the “thicker” disk compared to their high-mass planet-hosting counterparts. We also found that Neptunian hosts follow the distribution of high- $\alpha$  stars in the  $UW$  vs.  $V$  velocities space, but they are more enhanced in Mg than high- $\alpha$  stars without planetary companions. Our results indicate that some metals other than iron may also have an important contribution to planet formation if the amount of iron is low. These results may provide strong constraints for the models of planet formation, especially for planets with low mass.

**Key words.** stars: abundances – planetary systems

## 1. Introduction

Since the first discovery of an exoplanet around a solar-like star, (Mayor & Queloz 1995), more than 750 exoplanets have been discovered. More than one hundred of these planets have masses less than  $30 M_{\oplus}$ . With the ever increasing number comes the realization that the nature of these planets is diverse, thereby adding complexities to an already complicated problem.

There are essentially two main and competitive planet formation models: the core-accretion model (e.g. Pollack et al. 1996; Ida & Lin 2004; Mordasini et al. 2009) and the disk instability model (e.g. Boss 1997, 2002). The tendency for planets to orbit metal-rich stars (Gonzalez 1998; Gonzalez et al. 2001; Santos et al. 2001, 2003, 2004; Fischer & Valenti 2005; Sousa et al. 2008; Neves et al. 2009; Johnson et al. 2010; Sousa et al. 2011a; Adibekyan et al. 2012) lends strong support to the first scenario, according to which planets grow through accretion of solid, metal-rich material to form massive cores. The discovery of several planets orbiting metal-poor stars (e.g. Cochran et al. 2007; Santos et al. 2010) shows, however, that giant planet formation is not completely inhibited in the metal-poor regime (see also discussion in Santos et al. 2004, 2011). Recent studies showed that the well-established metallicity correlation seems to be only observed for giant planets. The stars hosting Neptunes and super-Earth-class planets show typical metallicities of the

stars without planets (e.g. Udry et al. 2006; Sousa et al. 2008; Ghezzi et al. 2010; Mayor et al. 2011; Sousa et al. 2011a). This gives us interesting hints about the planet formation processes.

In the conventional core-accretion scenario, the formation of planetesimals starts from the condensation of heavy elements (metals). In this context, the study of the heavy element abundances is very important. Previous studies that aimed to clarify whether the planet-hosting stars (PHS) are different from stars without planets in their content of individual heavy elements (other than iron) yielded contradictory results. Although most studies showed no significant differences in the overall trends of  $[X/Fe]$  between PHSs and stars without any known planetary-mass companions (e.g. Takeda 2007; Bond et al. 2008; Neves et al. 2009; González Hernández et al. 2010; Delgado Mena et al. 2010), some works have reported possible enrichment in some species (Gonzalez et al. 2001; Santos et al. 2000; Sadakane et al. 2002; Bodaghee et al. 2003; Fischer & Valenti 2005; Beirão et al. 2005; Gilli et al. 2006; Bond et al. 2006; Robinson et al. 2006; Gonzalez & Laws 2007; Brugamyer et al. 2011; Kang et al. 2011).

Although theoretical modeling suggests that metallicity is a key parameter of planet formation, Haywood (2008), studying the memberships of PHSs to different stellar populations, proposed that the presence of giant planets might be primarily a function of a parameter linked to galactocentric radius, but not metallicity and the apparent correlation between metallicity and the detection of planets is a natural consequence of that. Haywood (2009) suggested that this parameter, which depends

<sup>★</sup> Based on observations collected at the La Silla Parana Observatory, ESO (Chile) with the HARPS spectrograph at the 3.6-m telescope (ESO runs ID 72.C-0488, 082.C-0212, and 085.C-0063).

on the distance from the Galactic Center, could be the density of molecular hydrogen. If the rate of giant planets does not depend on metallicity, then the core-accretion theory of planet formation (e.g. Ida & Lin 2005; Mordasini et al. 2012) loses its most important observational support, and in turn strengthens the gravitational instability theory (e.g. Boss 2001; Cai et al. 2006). The kinematics of extra-solar planet hosts and their relation to different stellar populations and moving groups have been discussed in some other works (e.g. Gonzalez 1999; Reid 2002; Barbieri & Gratton 2002; Santos et al. 2003; Ecuivillon et al. 2007; Neves et al. 2009; Gonzalez 2009). The results do not allow one to reach any clear conclusion.

The study of extrasolar planets requires very high quality data. In particular, very high-precision radial-velocity measurements are needed to detect planets. Likewise, finding possible abundance differences (sometimes a very subtle task) between stars with and without planets also requires using large stellar samples with accurate and homogeneous abundance determinations. In this paper, we present a uniform spectroscopic analysis of 1111 FGK dwarfs observed within the context of the HARPS GTO planet search program. Of these stars, 109 are known to harbour high-mass planetary companions and 26 stars are hosting exclusively Neptunians and super-Earths. The large size and homogeneity of this sample enables a very robust differential comparison of the chemical abundances of the stars with and without planets with minimal internal uncertainties. The relatively high number of low-mass planet hosts in the sample allowed us to study their chemical properties separately from their Jupiter-mass planet hosting counterparts. The introduction of the sample and the methods of the chemical abundance determination and analysis are described in details in our previous paper (Adibekyan et al. 2012). This paper is organized as follows: in Sect. 2 we briefly introduce the physical properties of the stars in the sample. The study of the abundances of refractory elements relative to iron in exoplanet-hosting stars can be found in Sect. 3. The kinematical properties of the exoplanet-hosting stars is presented in Sect. 4. Finally, in Sect. 5, we draw our main conclusions.

## 2. The sample

The sample used in this work consists of 1111 FGK stars observed with the HARPS spectrograph (Mayor et al. 2003) at the ESO 3.6 m telescope (La Silla, Chile). The stars are slowly rotating, non-evolved, and in general have a low level of activity. Most stars have effective temperatures  $4600 \text{ K} \leq T_{\text{eff}} \leq 6300 \text{ K}$  (only 4% are outside of this region) surface gravities  $4 \leq \log g \leq 5$  dex (the number of “outliers” again is very small, only 25 stars with  $\log g < 4$  dex) and they lie in the metallicity range of  $-1.39 \leq [\text{Fe}/\text{H}] \leq 0.55$  dex.

Precise stellar parameters for all stars were determined in the same manner and from the same spectra as used in our previous study. For details we refer the reader to Sousa et al. (2008, 2011a,b). The typical uncertainties in the atmospheric parameters are of about 30 K for  $T_{\text{eff}}$ , 0.06 dex for  $\log g$ , and 0.03 dex for  $[\text{Fe}/\text{H}]$ .

Elemental abundances for 12 elements (Na, Mg, Al, Si, Ca, Ti, Cr, Ni, Co, Sc, Mn, and V) were determined using a local thermodynamic equilibrium (LTE) analysis relative to the Sun with the 2010 revised version of the spectral synthesis code MOOG<sup>1</sup> (Snedden 1973) and a grid of Kurucz ATLAS9 plane-parallel model atmospheres (Kurucz et al. 1993). The reference

abundances used in the abundance analysis were taken from Anders & Grevesse (1989). The line list and atomic parameters of Neves et al. (2009) were used adding one CaI line and excluding five NiI lines, two SiI lines, two TiII lines, and five TiI lines (Adibekyan et al. 2012). The equivalent widths were automatically measured with the ARES<sup>2</sup> code (Automatic Routine for line Equivalent widths in stellar Spectra – Sousa et al. 2007). The final abundance for each star and element was calculated to be the average value of the abundances given by all lines detected in a given star and element. Individual lines for a given star and element with a line dispersion higher than a factor of two than the rms were excluded. The total uncertainties in the  $[\text{X}/\text{H}]$  abundances and  $[\text{X}/\text{Fe}]$  ratios do not exceed 0.04 and 0.03 dex for stars with  $T_{\text{eff}} = T_{\odot} \pm 500 \text{ K}$ , respectively, and are less than 0.1 dex (except ScI, TiI and VI) for the stars with temperatures very different from that of the Sun.

With our large stellar sample, we were able to detect and correct the  $[\text{X}/\text{Fe}]$  trends with  $T_{\text{eff}}$  for some elements. For AlI and CoI we observed a systematic trend with  $T_{\text{eff}}$  in all temperature ranges, and for TiI, ScI, V, CrII, and Na we observed a trend with  $T_{\text{eff}}$  in the low-temperature domain.

As a check of our method and analysis, we compared our derived abundances with those obtained by Bensby et al. (2005), Valenti & Fisher (2005), Gilli et al. (2006), and Takeda (2007) for stars in common with these works. In general we found a good agreement with these previous studies.

For more details about the sample, abundance determination methods, analysis, and errors we refer the reader to Adibekyan et al. (2012).

## 3. Abundances in planet hosts

In this section we perform a detailed analysis of the  $[\text{X}/\text{Fe}]$  distributions of stars hosting Jupiter-mass planets, stars with Neptunes and super-Earths, and stars without planets. At the end of this section we investigate the connection of metal-poor planet-hosting stars to the Galactic thick disc.

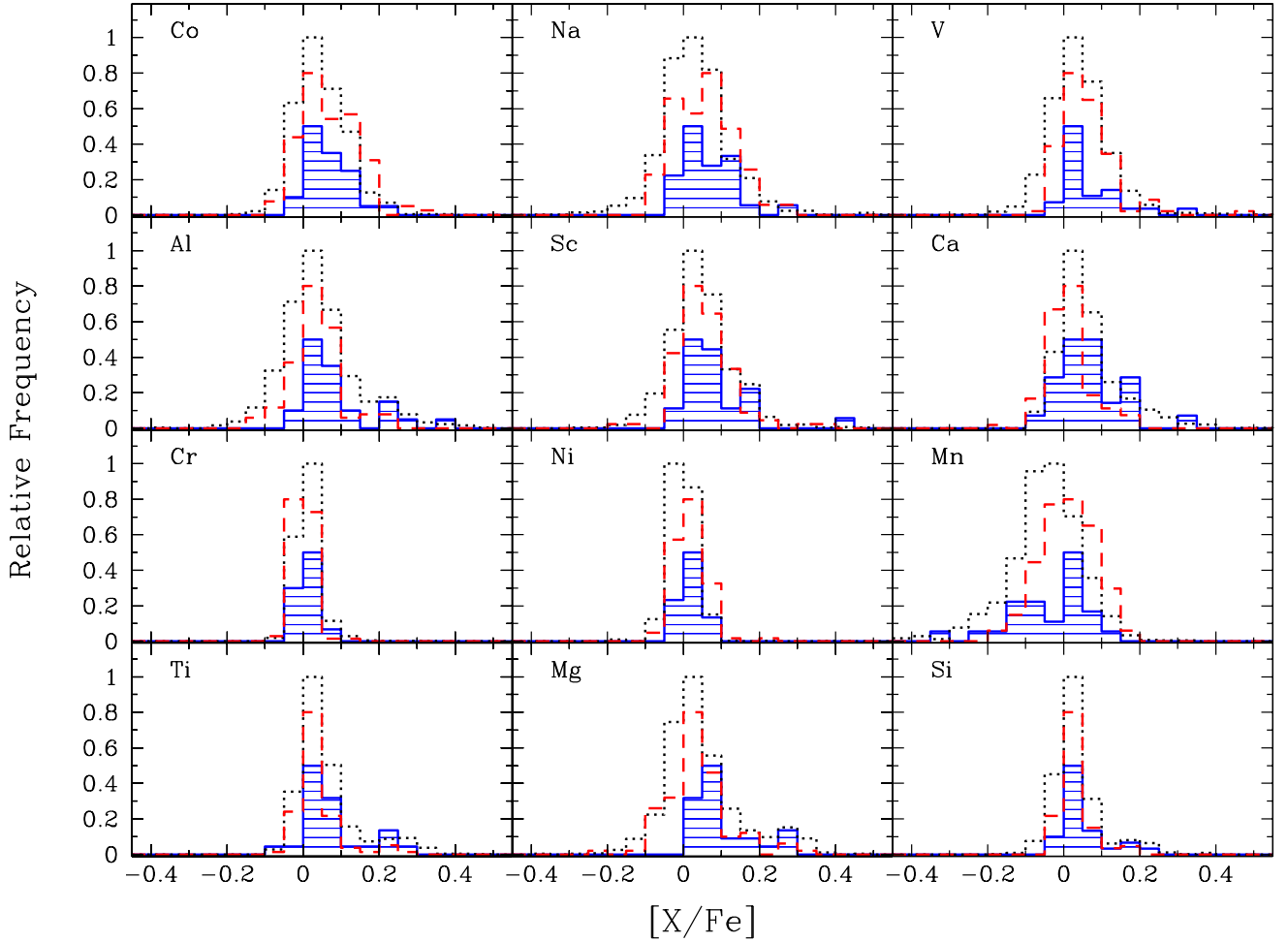
### 3.1. $[\text{X}/\text{Fe}]$ of planet host stars

Through studying the  $[\text{X}/\text{Fe}]$  histograms of exoplanet-hosting stars one can characterize the distribution of the individual elements relative to iron for the sample in general, and find the limits of the distributions. The  $[\text{X}/\text{Fe}]$  distributions and the corresponding cumulative fractions for the total sample are depicted in Figs. 1 and 2, respectively. In the plots (and in the subsequent figures) we used the average of TiI & TiII for Ti, the average of CrI & CrII for Cr, and the average of ScI & ScII for Sc to increase the statistics. The abundance ratios do not change when using the mean values as compared to using the different ions separately.

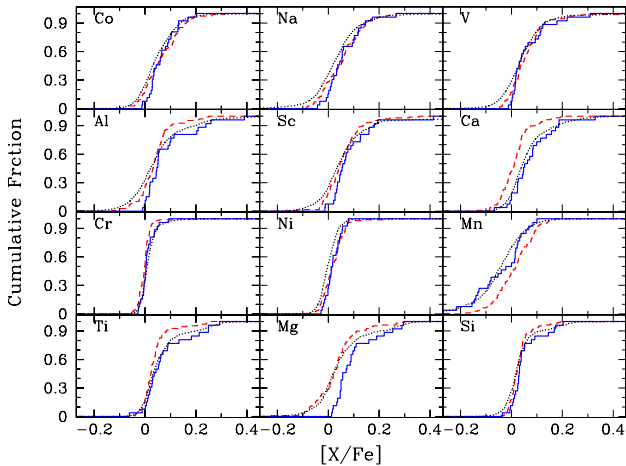
The inspection of Figs. 1 and 2 suggests that, in general, the  $[\text{X}/\text{Fe}]$  distributions of Neptunian hosts (NH) start at higher  $[\text{X}/\text{Fe}]$  values compared to the distributions of their giant planet-hosting counterparts. For most elements this shift in part reflects the fact that Neptunian and Jovian hosts (JH) have a different metallicity distribution and hence different  $[\text{X}/\text{Fe}]$  ratios because of the Galactic chemical evolution trends. However, this is not the case of Mg, for which the shift of the distribution toward the higher  $[\text{X}/\text{Fe}]$  values is most evident. This shift exists even when we compare the two subsamples in the same metallicity interval.

<sup>1</sup> The source code of MOOG2010 can be downloaded at <http://www.as.utexas.edu/~chris/moog.html>

<sup>2</sup> The ARES code can be downloaded at <http://www.astro.up.pt/sousasag/ares>



**Fig. 1.**  $[X/Fe]$  distribution of the different elements. The stars with giant planets and without planets are represented by a red dashed and black dotted lines, respectively. The stars that exclusively host Neptunians and super-Earth planets are represented by a shaded blue. The Neptunian/super-Earth and Jovian hosts distributions were set smaller (0.5 and 0.8 times, respectively) for the sake of clarity.



**Fig. 2.** Cumulative distribution functions of  $[X/Fe]$ . The stars with giant- and low-mass planets are represented by a red dashed and a blue solid line, respectively. The stars without planets are represented by a black dotted line.

The Kolmogorov-Smirnov (K-S) statistics predict a  $10^{-4}$  probability ( $P$ ) that Neptunian and Jovian host stars have the same  $[Mg/Fe]$  distribution. The K-S tests also give significant probabilities that the  $[X/Fe]$  distribution of the two planet-hosting

samples are different for Ca (K-S  $P \approx 10^{-4}$ ), Ti (K-S  $P \approx 0.04$ ), and Mn (K-S  $P \approx 0.05$ ).

Table 1 lists the average values of  $[X/Fe]$  for three groups of stars, along with their rms dispersion, the number of stars used in their determination, and the difference of averages between Neptunian and Jovian hosts and stars without planets. These differences range from about  $-0.005$  (Cr) to  $0.052$  (Mg) for NHs. The maximum overabundance of JHs are found for manganese  $\Delta[Mn/Fe] \approx 0.06$  dex. We note that previous studies (Bodaghee et al. 2003; Zhao et al. 2002) have also suggested the difference in  $[Mn/Fe]$  between PHSs and comparison stars, though the difference was statistically within their scatter. As mentioned above, Kang et al. (2011) also claimed to have found even significant overabundances of  $[Mn/Fe]$  of planet-hosting stars with the K-S probability 0.0015% that the host and non-host stars have the same  $[Mn/Fe]$  distribution. We note that these differences can be partially “affected” by the underlying  $[Fe/H]$  distributions of planets with different mass and control sample.

To evaluate the probability that the abundances of the two planet-hosting groups and the sample without planets have the same  $[X/Fe]$  distribution, we performed a K-S test. To avoid confusion from the multiple values of  $[X/Fe]$  (for “ $\alpha$ -like” elements) at low metallicities due to the thin and thick disk (e.g. Bensby et al. 2003; Adibekyan et al. 2011) and to remove (at least partially) the differences of the underlying iron distributions for the three samples, we established a cutoff in  $[Fe/H]$ . Only stars

**Table 1.** Average abundance ratios  $[X/Fe]$  for stars without planets, with giant planets, and stars that exclusively host Neptunians, along with their rms dispersion, the number of stars used in their determination, and the difference of averages between Neptunian and Jovian hosts and stars without planets.

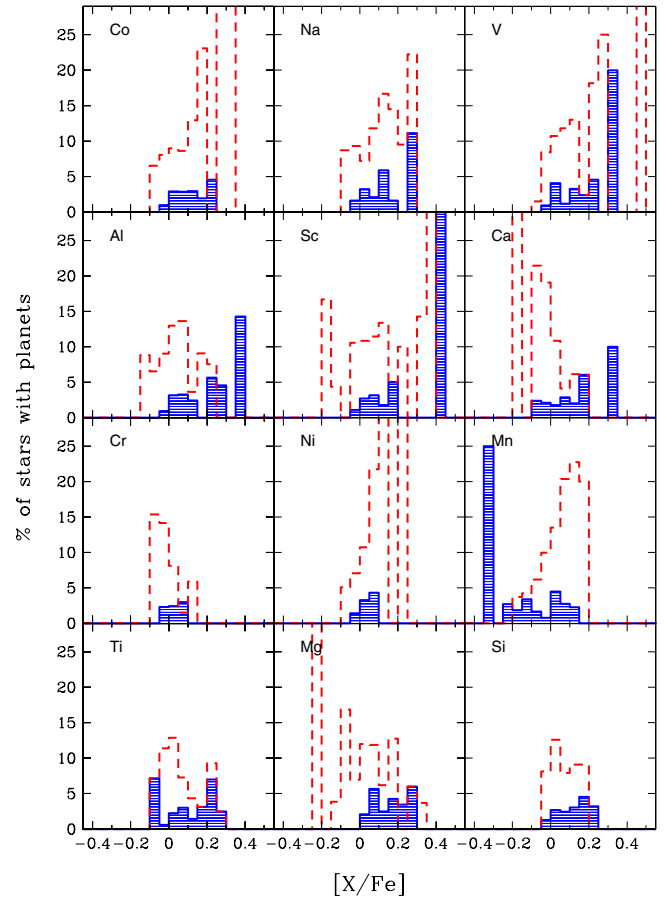
Species X	Jovian hosts			Neptunian hosts			Without planets			Difference of averages	
	$\langle[X/Fe]\rangle$	$\sigma$	N	$\langle[X/Fe]\rangle$	$\sigma$	N	$\langle[X/Fe]\rangle$	$\sigma$	N	Jovian – Non-hosts	Neptunian – Non-hosts
Na	0.054	0.076	109	0.061	0.069	26	0.031	0.098	975	0.023	0.030
Mg	0.031	0.083	109	0.100	0.083	26	0.048	0.103	976	−0.017	0.052
Al	0.037	0.066	109	0.084	0.097	26	0.044	0.104	969	−0.007	0.040
Si	0.030	0.041	109	0.050	0.059	26	0.034	0.066	976	−0.004	0.016
Ca	0.013	0.058	109	0.075	0.082	26	0.062	0.083	976	−0.049	0.013
Sc	0.056	0.073	109	0.085	0.060	26	0.047	0.085	947	0.009	0.038
Ti	0.037	0.055	109	0.075	0.090	26	0.062	0.085	976	−0.025	0.013
V	0.059	0.075	109	0.064	0.076	26	0.041	0.084	973	0.018	0.023
Cr	−0.003	0.023	109	0.009	0.027	26	0.014	0.039	976	−0.017	−0.005
Mn	0.011	0.073	109	−0.043	0.100	26	−0.047	0.096	976	0.058	0.004
Co	0.065	0.073	109	0.066	0.054	26	0.048	0.078	975	0.017	0.018
Ni	0.019	0.042	109	0.019	0.028	26	0.000	0.039	976	0.019	0.019

**Table 2.** K-S probabilities that stars with planets of different mass and non-planet host stars have the same  $[X/Fe]$  distribution.

Species	Jovian hosts K-S Prob	Neptunian hosts K-S Prob
Na	0.004	0.242
Mg	0.010	0.000
Al	0.009	0.045
Si	0.012	0.014
Ca	0.002	0.328
Sc	0.049	0.018
Ti	0.629	0.763
V	0.123	0.519
Cr	0.049	0.099
Mn	0.002	0.372
Co	0.031	0.142
Ni	0.000	0.195
Fe	0.000	0.990

**Notes.** Only stars with  $−0.2 < [Fe/H] < 0.4$  dex are considered.

with metallicities between  $−0.2$  and  $+0.4$  dex were used for the K-S tests. The values of the probability that the  $[X/Fe]$  distributions belong to the same population (Prob) are presented in Table 2. We note that, in general, the Prob values did not change when we extended the metallicity region from  $+0.4$  to  $+0.55$  dex ( $+0.55$  dex is the metallicity limit for our sample), but they decreased (especially for “ $\alpha$ -like” elements) if we added the stars with metallicities from  $−0.2$  to  $−0.6$  dex ( $−0.6$  dex is the lower metallicity limit for planet hosts in our sample). This is expected because in the low-metallicity regime almost all planet hosts have high  $[\alpha/Fe]$  values, typical for thick-disk stars, while stars without planets belong to the thin and thick disks with low and high  $[\alpha/Fe]$  values, respectively (see Sects. 3.2 and 3.3). For the metallicity distribution, the probability that the  $[Fe/H]$  distributions of NHs and non-hosts belong to the same population is about 99% and for JHs and non-hosts the test gives 0% probability. The K-S probabilities for NHs reflects the real differences in  $[X/Fe]$  between these and non-host stars because their underlying iron distributions fully match. The K-S test implies that the stars hosting Neptunians and stars without planets are indeed from separate groups in terms of  $[Mg/Fe]$ ,  $[Si/Fe]$ ,  $[Sc/Fe]$  and  $[Al/Fe]$ . For Jupiter-mass planet hosts the K-S test implies that they are different from stars without planets by their  $[X/Fe]$  distribution for all the elements (except Ti and V) although we should note that their  $[Fe/H]$  distributions are different as well.



**Fig. 3.** Percentage of stars with giant (red dashed) and exclusively Neptunian and super-Earth (shaded blue) planets as a function of  $[X/Fe]$ . For the sake of clarity we cut the y axis at 30%.

Figure 3 illustrates the  $[X/Fe]$  distribution from a different perspective: the histograms of the number of stars with giant (red dashed) and low-mass planets (shaded blue) compared to the total number of stars of each bin (0.05 dex). We note that some bins with extreme  $[X/Fe]$  values show an unreal 100% percentage with only one star in the bin. For the sake of clarity we cut the y axis at 30%. For Co, Na, Ni, V, and Mn we observe that there is a general increase in the percentage of stars with giant planets, with increasing  $[X/Fe]$ . An opposite trend shows the distributions of Ca and Cr. It is difficult to claim that there is



an opposite trend for Mg because the distribution is in general flat when we exclude the lowest [Mg/Fe] bin with the “unreal” 100% frequency. We also found that the probability to find a Neptunian mass planet is increasing if the host has high [X/Fe] values for all studied elements except Mn. Interestingly, most of the NH stars have [Al/Fe], [Sc/Fe] and particularly [Mg/Fe] values higher than 0, although JHs are also observed at much lower [X/Fe] values. We again note that the number of stars that exclusively host Neptunes and super-Earth planets is small, therefore, the conclusions regarding them should be considered with caution.

### 3.2. [X/Fe] versus [Fe/H]

Previous planet-host studies (e.g. Neves et al. 2009; Petigura & Marcy 2011; Kang et al. 2011) have found that, in addition to iron, the abundances of various other metals are enhanced in these stars compared to stars with no known planets. Given the metal-rich nature of planet-hosting stars (higher overall iron content), they are indeed expected to have a higher content of other metals as well. Some authors also referred to the existence of different [X/Fe] trends in planet-hosting stars compared to stars without planets for the same metallicity. For instance, potential differences were detected by Sadakane et al. (2002) for vanadium and cobalt, by Bodaghee et al. (2003) for Ti, Mn, V, and Co, and by Gilli et al. (2006) for V, Co, Mg, and Al. Robinson et al. (2006) claimed to have found significant overabundances of [Si/Fe] and [Ni/Fe]. Gonzalez & Laws (2007) also found that Al and Si abundances are systematically lower for the planet-hosting stars in the higher metallicity region, and that the Ti abundance exhibits the opposite trend, which implies that the abundances of Na, Mg, Sc, and Ni might have some differences between planet hosts and stars without planets. Recently, Kang et al. (2011), studying 34 PHSs and 18 comparison G-type stars, found that the [Mn/Fe] ratios of planet-hosting stars are higher than those of comparison stars over the entire metallicity range. These authors also found that [X/Fe] ratios of Mg, Al, Sc, Ti, V, and Co for PHSs are higher than those of comparison stars in metal-poor stars of [Fe/H] < -0.4 by more than 0.2 dex (they had only two stars with and two stars without planets in the mentioned region). Most of these studies were in general limited to small samples of planet-hosting and stars without planets and as a result the general picture is so very difficult and contradictory.

Knowing that different elements show different trends of abundances in the metal-rich and metal-poor domain in the Galactic chemical evolution, studying the [X/Fe] histograms is not sufficient to find small/subtle abundance differences between stars harboring planets with different masses and stars without planets. To explore the importance of individual elements in planet formation it is necessary to investigate the elemental abundances along with the metallicity. In this subsection, we will analyze whether there are any differences in the abundances of stars with and without planets for the same value of [Fe/H].

Figure 4 shows the averages and standard deviations of [X/Fe] ratios for each [Fe/H] bin. The sizes of the bins are 0.1 dex. The red squares and blue triangles represents stars with Jovian-mass and Neptunian-mass planets, respectively. The black circles refer to the stars without planetary companion. As shown in the figure, planet-hosting stars, both hosting Neptunes and Jupiters, are more abundant in  $\alpha$ -elements than comparison stars when the metallicity is low. Giant-planet hosts have higher [Sc/Fe] and [Ti/Fe] values than stars without planets when [Fe/H] < -0.2 dex, higher [Al/Fe] and [Si/Fe] when [Fe/H] < -0.1 dex, and higher [Mg/Fe] ratios when [Fe/H] < 0.1 dex. We

note that the [X/Fe] values in the  $-0.5 < [\text{Fe}/\text{H}] < -0.4$  dex metallicity bin for the Jupiter-hosting stars are not fitted with the general [X/Fe] vs. [Fe/H] trends. In that bin we have three stars, two of which have “thin” disk [X/Fe] abundance ratios. Interestingly, these two stars are the most “evolved” planet hosts of our sample in that iron-poor regime. The stars, HD 171028 and HD 190984, have 3.84 and 4.02 dex log  $g$  values, respectively, although the average log  $g$  of the metal-poor ([Fe/H] < -0.2 dex) planet hosts is 4.35 dex, and for the total planet-hosting sample it is 4.37 dex. It is difficult to conclude why these two stars show “peculiar”  $\alpha$ -element abundances. Increasing the statistics will help to resolve this uncertainty.

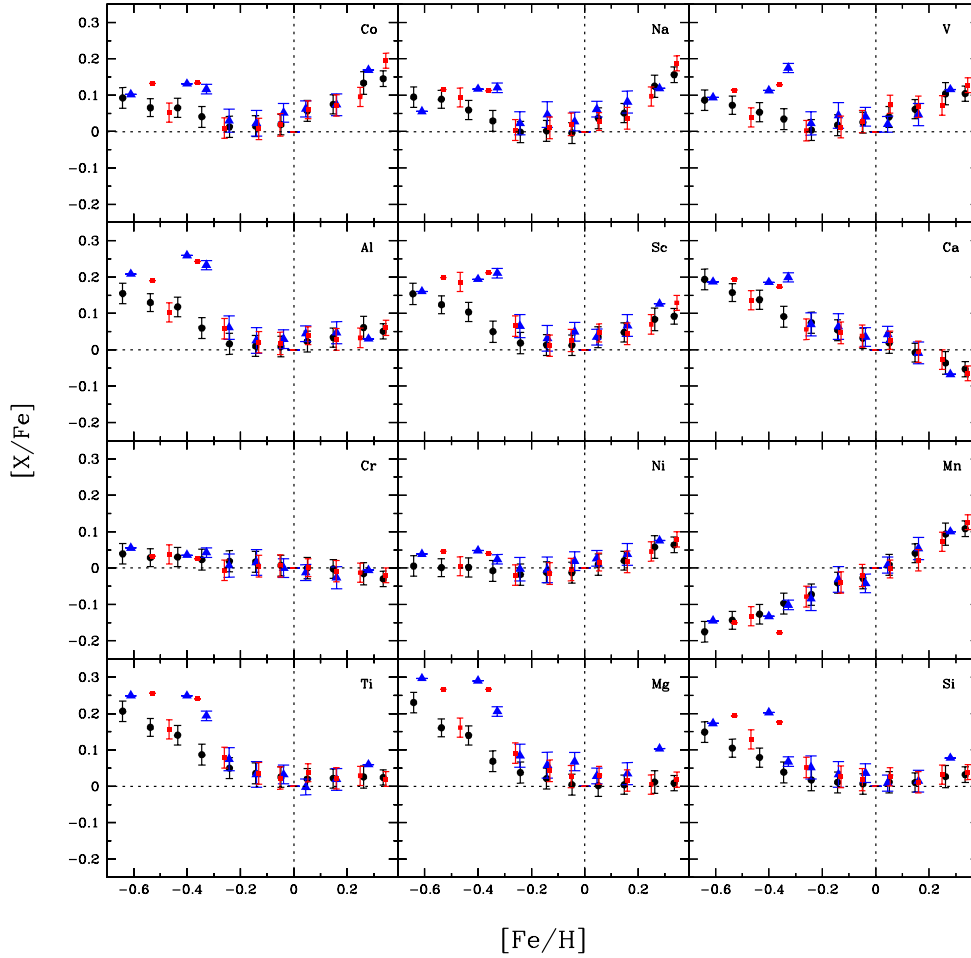
For low-mass planet host the increase in [X/Fe] ratios starts at higher metallicities than for high-mass planet hosts. Stars hosting Neptune-like planets have higher [Ti/Fe] values than their non-host counterparts when [Fe/H] < -0.2 dex, higher [Al/Fe], [Si/Fe], [Co/Fe], [V/Fe] and [Sc/Fe] when [Fe/H] < 0.0 dex, and they have higher [Mg/Fe] and [Na/Fe] (for Na the difference is smaller) abundance ratios in all bins (except the most metal-poor and metal-rich bins where we have only one star with low [Na/Fe] ratio). Low-mass planet hosts also exhibit slightly higher [Ni/Fe] abundance ratios, although they are still consistent within the error bars in all metallicity bins except the  $-0.2 < [\text{Fe}/\text{H}] < -0.1$  dex. With our relatively large and homogeneous sample we did not observe any abundance differences in manganese between stars with and without planets, as claimed in Kang et al. (2011), and we observed no nickel enrichment in stars with giant planets, as reported in Robinson et al. (2006).

Based on these results, we can assume that some metals other than iron are involved in the process of planet formation, especially when the amount of iron is lower than solar. Iron is not the only abundant refractory element in the solar system. There are other fairly abundant elements (e.g. Mg, Si) with condensation temperatures comparable to iron (Lodders 2003; Lodders et al. 2009) that are very important contributors to the composition of dust in planet-forming regions and represent the principal components of rocky-type planets. Thus, these results are consistent with the expectations, since a high [X/Fe] ratio means higher “global metallicity” and are moreover supported by the theoretical studies using the core-accretion model (e.g. Ida & Lin 2005; Mordasini et al. 2012). However, our analysis indicates that planet-hosting stars have higher [X/Fe] values than stars without planets for elements that are less abundant (e.g. Sc, Ti). This may indicate that not all solids are equally effective for planet formation. Alternatively, some elements (like Si or Mg) may indeed stimulate planet formation processes, although observed overabundance of other elements may just reflect the similarities of the Galactic chemical evolution of the elements. Particularly, Si, Mg, Al, Sc, and Ti show similar [X/Fe] trends with [Fe/H], although in this case one might expect overabundance of Ca in planet host stars, which is not observed. More analyses are needed to understand which elements show a secondary correlation with exoplanet presence.

Although the observed abundance differences between the stars with and without planets are in general tiny compared to the standard deviations of the samples, they are systematic, and we believe that increasing the sample of planet-hosting stars will yield statistically more significant results.

### 3.3. Exoplanets and the thick disc

Haywood (2008, 2009) reported that at metallicities [Fe/H] < -0.3 most stars known to harbor giant planets belong to the thick disc rather than to the thin disc. Gonzalez (2009), using



**Fig. 4.**  $[X/Fe]$  abundance ratios against  $[Fe/H]$  for the stars with and without planets. The symbols and error bars indicate the average and standard deviation, respectively, of each bin (0.1 dex). The red squares and blue triangles represent stars with Jupiter-mass and Neptunian/super-Earth mass planets, respectively. The black circles refer to the stars without a planetary companion. The black dashed lines target the solar value.

three samples of nearby stars hosting giant planets, showed that if compare stars with planets according to mass abundance of the refractory elements important for planet formation (instead of only iron), then thick disk and  $[Fe/H]$ -poor thin disk stars with planets have similar distributions. Studying this connection of planet-hosting stars with the thick disk is the subject of this subsection.

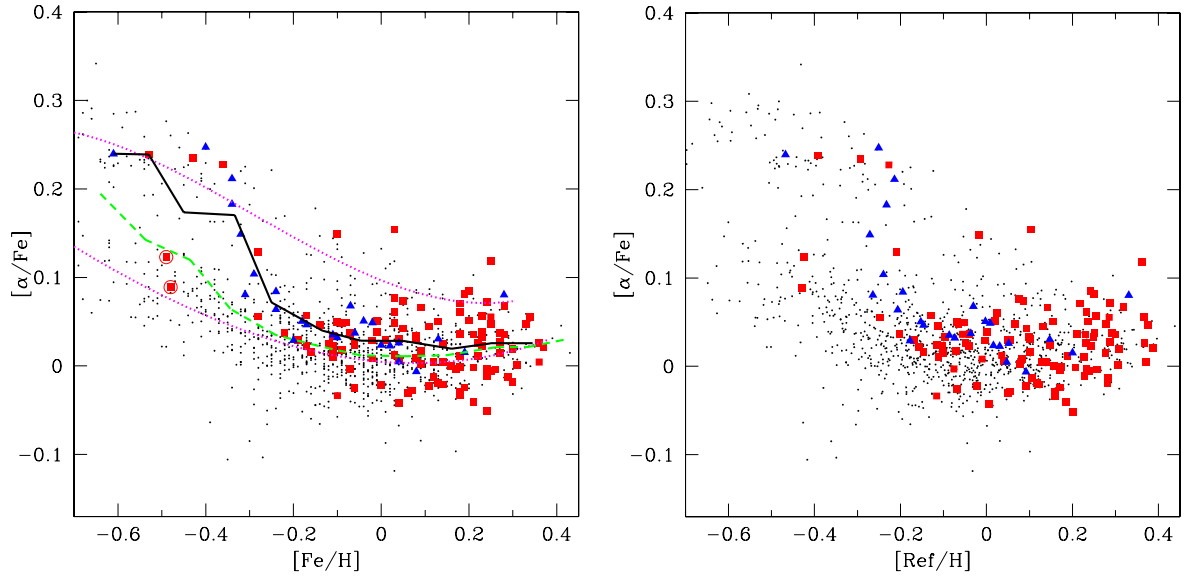
In Fig. 5 we plot  $[\alpha/Fe]$  against  $[Fe/H]$  and  $[\alpha/Fe]$  against  $[Ref/Fe]$  for the total sample. The “Ref” index is the one proposed by Gonzalez (2009) and quantifies the mass abundances of Mg, Si and Fe. The red squares refer to the Jovian hosts and the blue triangles refer to the stars that exclusively host Neptunians and super-Earths. The black dots represent the stars without planets. To illustrate the path of planet formation along the abundance ratios  $[\alpha/Fe]$  we present the mean of the distribution (black solid line). For the comparison the mean of the  $[\alpha/Fe]$  distribution of the stars without planets are also presented (green dashed line). The magenta dotted lines are the cubic polynomial fits for the low- $\alpha$  (thin disk) and the high- $\alpha$  stars (thick disk + high- $\alpha$  metal-rich, see Adibekyan et al. (2011) for the chemical separation of the sample).

With this data we confirm the finding by Haywood (2008, 2009) that giant planet incidence is greater among the thick-disk population than among the thin-disk population for  $[Fe/H] < -0.3$  dex. We also show that this can be extended to the Neptunian hosts. At the mentioned metallicity region we have

only three stars that harbor a planet in the thin disk (two of them are the aforementioned planet hosts with low  $\log g$  values) and eight planet hosts in the thick disk<sup>3</sup>. Interestingly, this thin/thick proportion changes dramatically when we apply a purely kinematic approach to separate the thin and thick discs. The kinematical separation suggests that six or three host are from the thick disc, four or six stars belong to thin disk and one or two stars can be classified as transition stars depending on the kinematic criteria used – Bensby et al. (2003) or Reddy et al. (2006), respectively (see also Adibekyan et al. 2011). Moreover, following Gonzalez (2009), and considering the Ref index instead of  $[Fe/H]$ , we can see that the observed high abundance of metal-poor PHSs in the thick disk “disappears”: most metal-poor planet-hosting stars in the thick disk have the same  $[Ref/H]$  distribution as their thin-disk counterparts (see the *right* panel of Fig. 5). This indicates that the thick-disk planet hosts might have less Fe than thin-disk hosts but they have relatively more Mg and Si, so they are not as metal-poor in terms of their ability to form planets.

In the Fe-poor regime it is difficult to conclude about the main reason for most of the planet-hosting stars to lie in the high- $\alpha$ /thick-disk region. Generally, the Galactic thick disk is composed of relatively old stars (e.g. Bensby et al. 2005; Adibekyan et al. 2011) that move in Galactic orbits with a large-scale height

<sup>3</sup> The separations between the Galactic thin and thick discs were taken from Adibekyan et al. (2011).

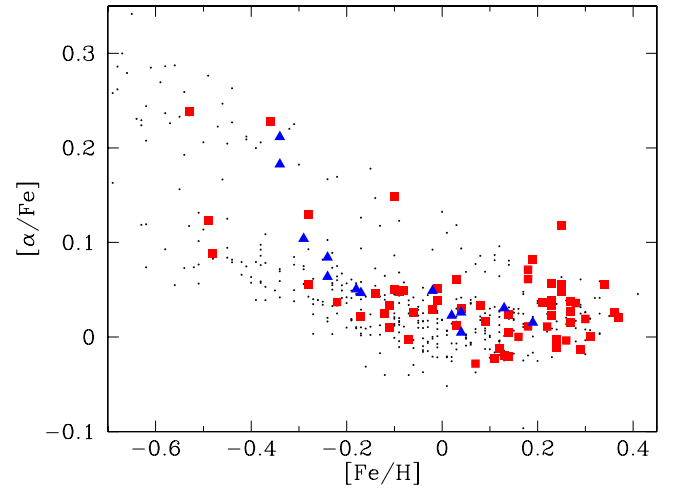


**Fig. 5.**  $[\alpha/\text{Fe}]$  versus  $[\text{Fe}/\text{H}]$  (left) and  $[\alpha/\text{Fe}]$  versus  $[\text{Ref}/\text{Fe}]$  (right) for the total sample. The red squares refer to the Jovian hosts and the blue triangles refer to the stars hosting exclusively Neptunians and super-Earths. The black dots represent the stars without planets. The black solid and green dashed lines represent the mean distributions of the planet host and non-host samples, respectively. The magenta dotted lines are the cubic fits for the low- $\alpha$  (thin disc) and the high- $\alpha$  (thick disc + high- $\alpha$  metal-rich) stars. The two metal-poor Jovian hosts in the red circles are the stars with low  $g$  values discussed in Sect. 3.2.

and long-scale length (e.g. Robin et al. 1996; Jurić et al. 2008). At the same time, the thick-disc stars are known to have higher  $[\alpha/\text{Fe}]$  ratios than the stars from thin disc at the same  $[\text{Fe}/\text{H}]$ . Below we present two indications that impel us to suppose that the certain chemical composition is the decisive factor, not the Galactic birth radius.

- Figure 5 clearly shows that planet-hosting stars show a continuous increase in  $[\alpha/\text{Fe}]$  with decreasing  $[\text{Fe}/\text{H}]$  at metallicities from  $-0.2$  to  $-0.3$  dex (starting from the thin disc, they rise to the thick disc) while the thin and thick disc stars without planets are separated very well by their  $[\alpha/\text{Fe}]$  ratios (see Adibekyan et al. 2011). Figure 6 better illustrates this separation; we plot only stars with effective temperatures close to the Sun by  $\pm 300$  K. In the metallicity region from  $-0.4$  to  $-0.2$  dex there is a clear separation between the two Galactic discs and there is no “transition” population between them, while the rise of  $[\alpha/\text{Fe}]$  for planet hosts still exists. This fact may be an indication that planet-hosting stars follow a certain chemical evolution trend that requires a certain chemical composition for their formation. This does not mean that the planet-hosting stars constitute a separate stellar population, and only suggests that perhaps a planet can be formed everywhere the chemical requirements are satisfied.
- The second hint, which may also indicate the importance of the  $\alpha$  elements, is that planet-hosting stars start to have high  $[\alpha/\text{Fe}]$  ratios at lower metallicities when they still belong to the thin disc. This is illustrated in the left panel of Fig. 5 where one can see that the average  $[\alpha/\text{Fe}]$  ratio for planet-hosting stars (black line) is higher than that for stars without planets (green dotted line) when  $[\text{Fe}/\text{H}] \lesssim 0-0.1$  dex.

In this subsection we considered low-mass and high-mass planet hosts together because, in general, they show similar  $[\alpha/\text{Fe}]$  trends with the metallicity (although at “higher” metallicities most of the Neptunian hosts lie above the “average” distribution of the combined host sample).

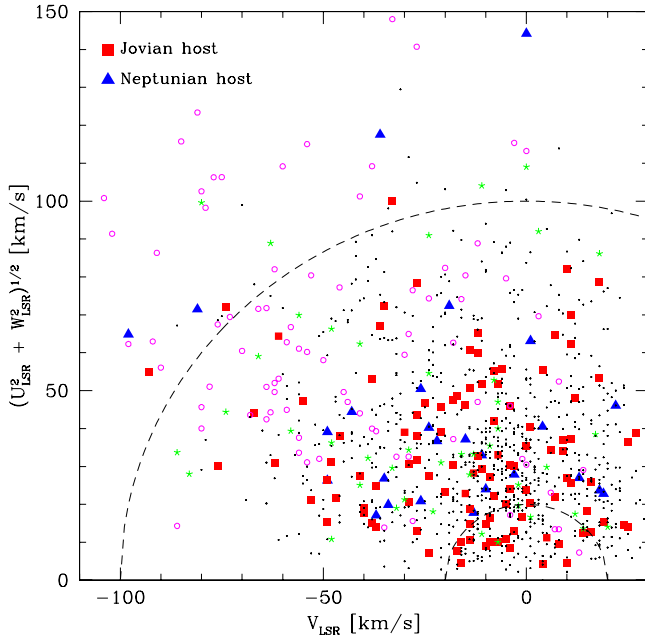


**Fig. 6.** Same as Fig. 5 (left panel), but for stars with  $T_{\text{eff}} = T_{\odot} \pm 300$  K.

The fact that most of the metal-poor planet hosts belong to the thick disc leads to an interesting conclusion. This suggests that when we calculate the frequency of planet hosts at low metallicities we should consider only stars belonging to the same Galactic population. In particular, the frequency of planet hosts in the metallicity region from  $-0.6$  to  $-0.3$  dex is about 5%, but when we consider only stars belonging to the thick disc, we will have about 14% and in the thin disc about 2%. To compare, the frequency of planet hosts in the metallicity region from  $-0.3$  to 0 dex in the thin disc is about 9%. This may also suggest that the observed paucity of very metal-poor planet hosts just reflects the low density of the thick disc stars in the solar neighborhood.

#### 4. Kinematics of planet-hosting stars

So far, more than a dozen studies were published focused on the kinematics of the PHSs and their relation to different stellar



**Fig. 7.** Toomre diagram for the planet hosting and stars without planets. The red squares and blue triangles represent stars with Jupiter- and Neptune-mass planets, respectively. The magenta circles and green asterisks refer to the high- $\alpha$  metal-poor (chemically defined thick disc) and high- $\alpha$  metal-rich stars without planets, and the black dots refer to the chemically defined thin disk non-host stars. Dotted lines indicate constant peculiar space velocities,  $v_{\text{pec}} = (U_{\text{LSR}}^2 + V_{\text{LSR}}^2 + W_{\text{LSR}}^2)^{1/2} = 20$  and  $100 \text{ km s}^{-1}$ .

**Table 3.** Average values of the Galactic orbital parameters and ages for the stars with giant planets, stars that exclusively host Neptunians, stars without planets, and stars without planets with low- $v_{\text{pec}}$ .

	$Z_{\text{max}}$	Ecc	$R_{\text{Gal}}$	Age
NHs	$0.33 \pm 0.06$	$0.19 \pm 0.02$	$8.00 \pm 0.001$	$5.5 \pm 0.3$
JHs	$0.21 \pm 0.02$	$0.14 \pm 0.01$	$7.99 \pm 0.002$	$4.8 \pm 0.3$
Non-hosts	$0.29 \pm 0.02$	$0.15 \pm 0.00$	$7.99 \pm 0.001$	$5.2 \pm 0.1$
low- $v_{\text{pec}}$	$0.10 \pm 0.01$	$0.05 \pm 0.00$	$7.98 \pm 0.003$	$4.8 \pm 0.3$

**Notes.** The standard errors of the mean values are also presented.

populations. The first papers did not find any significant kinematic peculiarity of PHSs (e.g. Gonzalez 1999; Reid 2002; Barbieri & Gratton 2002). Conversely, Haywood (2008, 2009), combining the chemical and kinematic properties of the PHSs, concluded that most metal-rich stars that host giant planets originate from the inner Galactic disk. The same scenario for the origin of metal-rich planet hosts were proposed in some other papers (e.g. Ecuivillon et al. 2007; Santos et al. 2008; Viana Almeida et al. 2009).

To study the kinematics of planet-hosting stars in Fig. 7 we presented their positions in the  $UW$  vs.  $V$  velocities space (Toomre diagram). For details of the computation of the space velocity components we refer the reader to Adibekyan et al. (2012). In the diagram we used only stars without planets with  $[\text{Fe}/\text{H}] > -0.6$  dex, because we found no planet below this value. Dotted lines indicate constant peculiar space velocities,  $v_{\text{pec}} = (U_{\text{LSR}}^2 + V_{\text{LSR}}^2 + W_{\text{LSR}}^2)^{1/2} = 20$  and  $100 \text{ km s}^{-1}$ . We first note that there is no kinematically very “cool” Neptunian host with  $v_{\text{pec}} < 20 \text{ km s}^{-1}$ , while 18 stars that host Jovian planets ( $\sim 17\%$ ) have peculiar space velocities slower than  $20 \text{ km s}^{-1}$ . The number of stars without planets and  $v_{\text{pec}} < 20 \text{ km s}^{-1}$  is 121, which is  $\sim 13\%$  of the total sample of non-hosts ( $[\text{Fe}/\text{H}] > -0.6$  dex).

First we checked if the different groups lie at the same distances from the Sun. We found that NHs are, on average, closer to the Sun than JHs or stars without any planet companions. The average distance of NHs is  $\sim 18 \text{ pc}$ , while the average distance of JHs is  $\sim 32 \text{ pc}$ , for stars without planets it is  $\sim 38 \text{ pc}$ , and for the stars with  $v_{\text{pec}} < 20 \text{ km s}^{-1}$  is  $\sim 39 \text{ pc}$ . This result is expected, because low-mass planets are easier to find at smaller distances due to the higher apparent magnitudes of their hosts. However, we have found that the difference in the distances is not the reason for the “high” peculiar velocities of NHs. Among the stars without planets that are closest to the Sun (the average distance of this subsample was  $\sim 18 \text{ pc}$ ) we found 18 stars ( $\sim 16\%$  of the total subsample) with  $v_{\text{pec}} < 20 \text{ km s}^{-1}$ .

To understand the root of this kinematical “peculiarity” of NH stars we collected the main orbital and kinematical properties of these stars and compared them to those from other groups of stars. To obtain the Galactic orbital parameters of the stars, we cross-matched our sample with the Geneva-Copenhagen Survey (GCS) sample (Casagrande et al. 2011), which provides the eccentricities of the orbits, the maximum vertical distance ( $Z_{\text{max}}$ ) a star can reach above the Galactic plane, the Galactic radial positions, and the ages of about 700 of our stars (23 NHs, 77 JHs, 548 non-host stars with  $[\text{Fe}/\text{H}] > -0.6$  dex, and 55 non-hosts with  $[\text{Fe}/\text{H}] < -0.6$  dex). The distributions of the Galactic orbital parameters and space velocities of the stars with low-mass planets (blue shade), with high-mass planets (red line), stars without planets with  $[\text{Fe}/\text{H}] > -0.6$  dex (black line), and the non-host stars with  $[\text{Fe}/\text{H}] > -0.6$  dex and  $v_{\text{pec}} < 20 \text{ km s}^{-1}$  (green dotted line, hereafter low- $v_{\text{pec}}$  stars) are presented in Fig. 8. The average values of the physical parameters and the ages for the groups of stars are presented in Table 3.

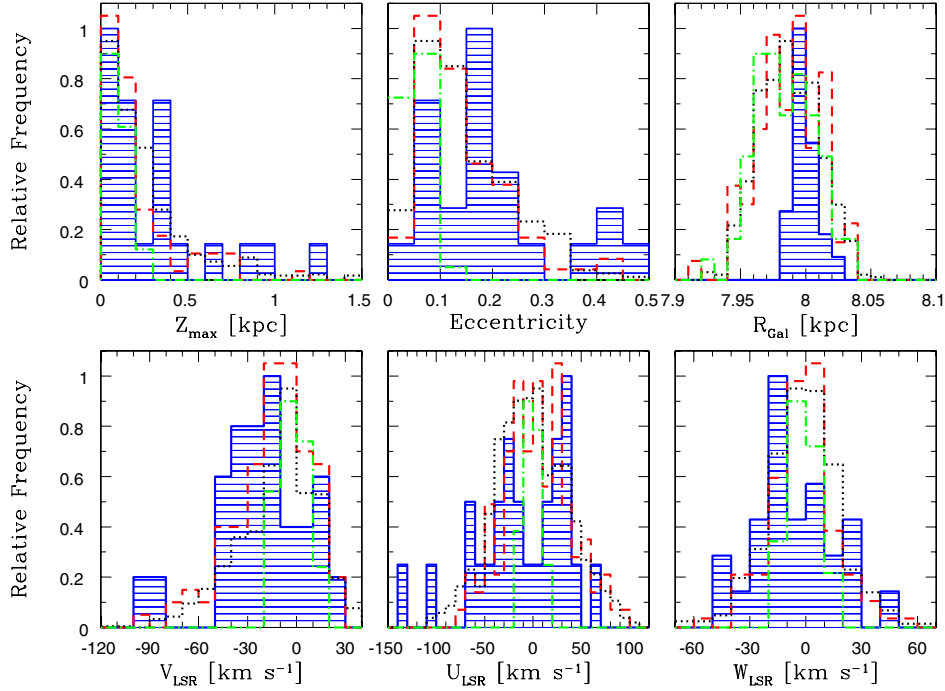
Inspection of the figure and the associated table shows that NH stars, on average, have higher  $Z_{\text{max}}$ , higher eccentricities, and higher  $R_{\text{Gal}}$  than their high-mass planet-hosting counterparts and stars without planets. The differences, as expected, are higher when compared to the low- $v_{\text{pec}}$  stars. From the bottom panels of Fig. 8 we can see that NHs have lower  $V_{\text{LSR}}$  and  $W_{\text{LSR}}$  space velocity components than stars from other groups. All these differences indicate that NHs tend to belong to the “thicker” disc, although JHs show the same frequency in the “thinner” disc as the stars without planets in our sample. This finding confirms also the last column of Table 3 where one can see that NH stars are on average older than the JHs (although the difference is small).

It is difficult to conclude about the main reason of the observed tendency of NH stars to belong to the “thicker” disc. In Fig. 7 we can see that NHs follow the distribution of high- $\alpha$  stars in the  $UW$  vs.  $V$  velocity space. To see if the NHs and stars without planets with the same metallicity ( $-0.6 < [\text{Fe}/\text{H}] < 0.25$ ) and kinematics ( $v_{\text{pec}} > 20 \text{ km s}^{-1}$ ) have the same abundances of  $\alpha$ -elements, we compared their  $[\text{Mg}/\text{Fe}]$ ,  $[\text{Si}/\text{Fe}]$  and  $[\text{Ti}/\text{Fe}]$  ratios. We found that the NH stars are more enhanced by Mg (the difference in  $[\text{Mg}/\text{Fe}] \approx 0.06$  dex) than by Si ( $\Delta[\text{Si}/\text{Fe}] \approx 0.02$  dex) and Ti ( $\Delta[\text{Ti}/\text{Fe}] \approx 0.02$  dex), and both groups of stars are enhanced by these elements compared to the low- $v_{\text{pec}}$  stars without planets. This may be a subtle hint confirming our previous finding (see Sect. 3) that to form Neptune-mass planets, some elements, like Mg, are particularly important.

## 5. Conclusions

We presented a differential abundance analysis between planet-hosting and stars without planets for 12 refractory elements (Na, Mg, Al, Si, Ca, Ti, Cr, Ni, Co, Sc, Mn, and V) for a total of 1111 nearby FGK dwarf stars. Of these stars, 109 are





**Fig. 8.** Distributions of the Galactic orbital parameters and space velocities of the stars with Neptune-mass planets (shaded blue), with Jupiter-mass planets (red dashed line), stars without planets and  $[\text{Fe}/\text{H}] > -0.6$  dex (black dotted line), and stars without planets with  $[\text{Fe}/\text{H}] > -0.6$  dex and  $v_{\text{pec}} < 20 \text{ km s}^{-1}$  (green dotted-dashed line). The distributions of different groups were set lower/higher for the sake of clarity.

known to harbor high-mass planetary companions and 26 stars are exclusively hosting Neptunians and super-Earths. The precise spectroscopic parameters for the entire sample were taken from Sousa et al. (2008, 2011a,b) and the abundances were taken from Adibekyan et al. (2012).

The inspection of the  $[\text{X}/\text{Fe}]$  histograms suggests that in general, the  $[\text{X}/\text{Fe}]$  distributions of NHs start at higher  $[\text{X}/\text{Fe}]$  values compared to the distributions of giant-planet hosts. This shift toward the higher  $[\text{X}/\text{Fe}]$  values is most clearly observed for Mg. For Co, Na, Ni, V, and Mn we observe that there is a general increase in the frequency of stars with giant planets, with increasing  $[\text{X}/\text{Fe}]$ . We also found that most of the Neptunian hosts have  $[\text{Al}/\text{Fe}]$ ,  $[\text{Sc}/\text{Fe}]$  and  $[\text{Mg}/\text{Fe}]$  values higher than 0, although Jovian hosts can have much lower  $[\text{X}/\text{Fe}]$  values for these elements.

With our relatively large and homogeneous sample of planet-hosting and stars without planets we found that the  $[\text{X}/\text{Fe}]$  ratios of Mg, Al, Si, Sc, and Ti for giant hosts are systematically higher than those of comparison stars at  $[\text{Fe}/\text{H}] \lesssim -0.1 \pm 0.1$  dex. Simultaneously, stars hosting Neptune-like planets show higher  $[\text{Ti}/\text{Fe}]$  ( $[\text{Fe}/\text{H}] < -0.2$  dex),  $[\text{Si}/\text{Fe}]$ ,  $[\text{Al}/\text{Fe}]$ ,  $[\text{Co}/\text{Fe}]$ ,  $[\text{V}/\text{Fe}]$  and  $[\text{Sc}/\text{Fe}]$  ( $[\text{Fe}/\text{H}] < 0.0$  dex), and higher  $[\text{Mg}/\text{Fe}]$  and  $[\text{Na}/\text{Fe}]$  (over the entire metallicity range) values than their non-host counterparts. Low-mass planet hosts also exhibit slightly higher  $[\text{Ni}/\text{Fe}]$  abundance ratios, although they are still consistent within the error bars in all metallicity bins except the  $-0.2 < [\text{Fe}/\text{H}] < -0.1$  dex.

We confirmed that planet incidence is greater among the thick-disk population than among the thin disk for  $[\text{Fe}/\text{H}] < -0.3$  dex. At lowest metallicities we observed only two stars with low  $[\alpha/\text{Fe}]$  ratios and both have lower  $\log g$  values (3.84 and 4.02 dex).

We also discussed recent debates about the high abundance of metal-poor planet hosts in the thick disk (Haywood 2008, 2009; Gonzalez 2009), i.e. the main reason that most Fe-poor stars lie in the high- $\alpha$ /thick-disk region – is it a special

birth place in the Galaxy or a certain chemical composition. Our results allow us to propose that the certain chemical composition and not the Galactic birth place is the determining factor.

The study of kinematical properties of planet host stars shows that there is no kinematically very “cool” low-mass planet host star with a peculiar velocity slower than  $20 \text{ km s}^{-1}$ , while  $\sim 17\%$  of stars that host high-mass planets have  $v_{\text{pec}} < 20 \text{ km s}^{-1}$ . Although we found that NHs are closer to the Sun than JHs and stars without planets, this is not the cause for their lower velocity limit. Inspecting of the Galactic orbital parameters of these stars shows that NHs tend to belong to the “thicker” disk compared to their high-mass planet-hosting counterparts. We also found that they follow the distribution of high- $\alpha$  stars in the  $UW$  vs.  $V$  velocity space, but they are more enhanced in Mg than high- $\alpha$  stars without planets. Summarizing the results obtained for the Neptunian-like planet hosts, we can assume that to form low-mass planets some elements, like Mg, might be particularly important.

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