

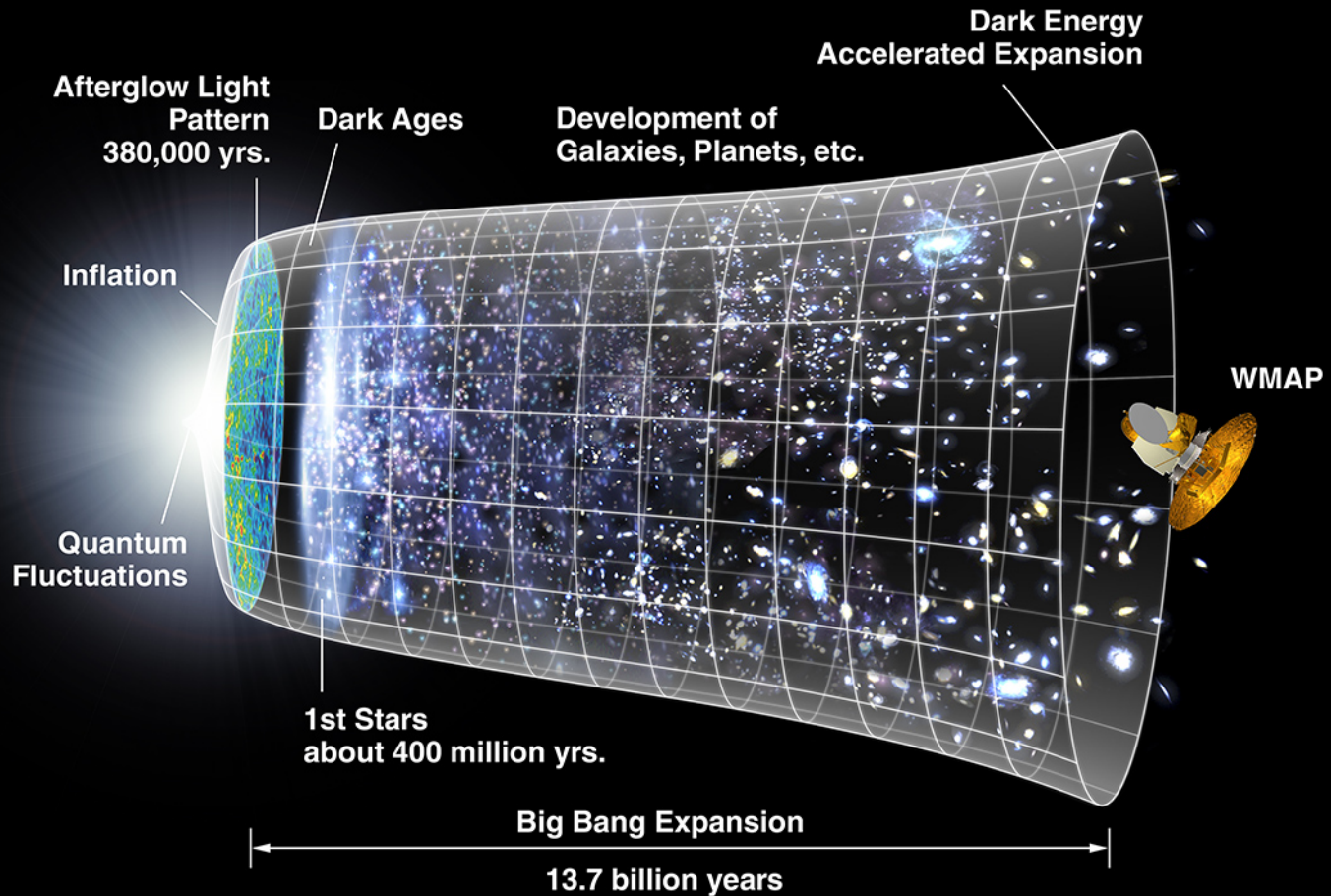
# **A brief thermal history of the Universe**

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# Overview

- **Equilibrium description**
  - Distribution functions
  - Neutrino decoupling and the neutrino background
  - Photon decoupling, recombination and the CMB
  - BBN and light element abundances
  - Comparison to observations
- **Basics of Boltzmann equation**
  - hot and cold relics
- **Summary**

# Brief history of the Universe



# Equilibrium distributions

Short-range interactions maintaining thermodynamic equilibrium:

$$f(k, t) d^3 k = \frac{g}{(2\pi)^3} \left( \exp[(E - \mu)/T] \pm 1 \right)^{-1} d^3 k$$

$E = \sqrt{k^2 + m^2}$  , T temperature,  $\mu$  chem. pot.

$$n = \int f(k) d^3 k \quad \text{number density}$$

$$\rho = \int E(k) f(k) d^3 k \quad \text{energy density}$$

$$p = \int \frac{|k|^2}{3E(k)} f(k) d^3 k \quad \text{pressure}$$

# Relativistic species, $m \ll T$

Crank handle, using  $m = \mu = 0 \rightarrow E \sim k$   
(use  $x=E/T$  as integration variable)

$$n_B = T^3 \frac{g\zeta(3)}{\pi^2} \quad n_F = \frac{3}{4}n_B$$

$$\rho_B = T^4 \frac{g}{30} \pi^2 \quad \rho_F = \frac{7}{8}\rho_B$$

with  $\rho_\gamma \sim a^{-4} \Rightarrow T_\gamma \sim 1/a$   
 $\rightarrow$  **expanding universe  
cools down**

$\rightarrow$  Stefan-Boltzmann law

$$\rho_\gamma \sim T^4$$

$$p = \frac{\rho}{3} \quad \rightarrow w_{\text{rad}} = p_{\text{rad}}/\rho_{\text{rad}} = 1/3$$

# Massive species, $m \gg T$

Expand  $E = \sqrt{k^2 + m^2} = m\sqrt{1 + k^2/m^2} \approx m + k^2/(2m)$   
and neglect  $\pm 1$  wrt  $\exp(m/T)$

$$n = g \left( \frac{mT}{2\pi} \right)^{3/2} e^{-(m-\mu)/T}$$

$$\rho = mn + \frac{3}{2}nT \quad \rightarrow E_{\text{kin}} / \text{particle}: \quad E_{\text{kin}} = \frac{3}{2}k_B T$$

$$p = nT \ll \rho$$

**Massive particles are suppressed by Boltzmann factor  $\exp(-m/T)$ , so they will quickly drop out of thermal equilibrium when  $T < m \rightarrow$  'freeze out'  $\rightarrow$  effective  $\mu$**

# Multiple relativistic species

If we have several species at different temperatures:

$$\rho_R = \frac{T_\gamma^4}{30} \pi^2 g_* \quad g_* = \sum_{i \in B} g_i \left( \frac{T_i}{T_\gamma} \right)^4 + \frac{7}{8} \sum_{j \in F} g_j \left( \frac{T_j}{T_\gamma} \right)^4$$

Entropy density:  $s = \frac{\rho + p}{T} \propto T^3 \quad \rightarrow \quad d(sa^3)/dt = 0$   
(use f and  $\dot{\rho} + 3H(\rho + p) = 0$ )

$$s = \frac{2\pi^2}{45} g_{*S} T_\gamma^3 \quad g_{*S} = \sum_{i \in B} g_i \left( \frac{T_i}{T_\gamma} \right)^3 + \frac{7}{8} \sum_{j \in F} g_j \left( \frac{T_j}{T_\gamma} \right)^3$$

- $T_\gamma \propto g_{*S}^{-1/3} a^{-1}$

Now we are ready to study particle evolution in the early universe!

# Neutrino decoupling

Interaction rate:  $\Gamma$  } species in equil.:  $\Gamma \gg H$   
Expansion rate:  $H$  } species decoupled:  $\Gamma \ll H$

$$\Gamma(T) = n(T) \langle \sigma v \rangle_T \quad \sigma_F \simeq G_F^2 E^2 \simeq G_F^2 T^2 \quad \Gamma_F \sim G_F^2 T^5$$

$$H(T) = \sqrt{\frac{8\pi G}{3}} \sqrt{\rho_R} \simeq \frac{5.44}{m_P} T^2 \quad g_* = 2 + \frac{7}{8} (3 \times 2 + 2 \times 2)$$

$$\Rightarrow \frac{\Gamma_F}{H(T)} \simeq 0.24 T^3 G_F^2 m_P \simeq \left( \frac{T}{1 \text{ MeV}} \right)^3$$

Neutrinos decouple when temperature drops below  $\sim 1$  MeV because their interactions become too weak.



# Temperature of $\nu$ background

Shortly after the neutrinos decouple, we reach  $T=0.5\text{MeV}=m_e$  and the entropy in electron-positron pairs is transferred to photons but not to the neutrinos. Photon + electron entropy  $g_{*S}(Ta)^3$  is separately conserved:

$$g_*(T_{\nu\text{dec}} > T > m_e) = 2 + \frac{7}{8} \times 4 = \frac{11}{2}, \quad g_*(T < m_e) = 2$$

How much are the photons heated by the electron-positron annihilation?

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$$\frac{(aT_\gamma)_{\text{after}}^3}{(aT_\gamma)_{\text{before}}^3} = \frac{(g_*)_{\text{before}}}{(g_*)_{\text{after}}} = \frac{11}{4}$$

Since  $(aT_\nu) = (aT_\gamma)_{\text{before}}$  we now have  $T_\gamma = (11/4)^{1/3} T_\nu$

-> for  $T < 0.5m_e$  :  $g_* \sim 3.36$  and  $g_{*S} \sim 3.91$  for radiation ( $\gamma+\nu$ )

# Photon decoupling

$e^+/e^-$  annihilation stopped by baryon asymmetry, remaining electrons and photons stay in thermal contact (Compton scattering) until electrons and protons form neutral hydrogen (recombination). As number of free electrons  $n_e$  drops, photons decouple when  $\Gamma_\gamma \sim H$ .

$$\Gamma_\gamma = n_e \sigma_T, \quad \sigma_T = \frac{8\pi\alpha_{\text{EM}}^2}{3m_e^2}$$

$$n_j = g_j \left( \frac{m_j T}{2\pi} \right)^{3/2} e^{(\mu_j - m_j)/T}, \quad j = e, p, H \quad g_p = g_e = 2, \quad g_H = 4$$

$$\mu_p + \mu_e = \mu_H \quad \text{due to interactions (number conservation)}$$

-> use this to remove  $\mu$ 's

$$m_p + m_e = m_H + B \quad \text{energy conservation, binding energy: } B=13.6\text{eV}$$

$$\text{Baryon number density: } n_B = n_p + n_H = n_e + n_H \quad (n_p = n_e)$$

# Photon decoupling II

We can write 
$$\frac{n_H}{n_e n_p} \approx \frac{g_H}{g_p g_e} \left( \frac{m_e T}{2\pi} \right)^{-3/2} e^{B/T}$$

introduce  $X_e = n_e/n_B$  (fractional ionisation) and notice that (in equilibrium)

$$\frac{1 - X_e}{X_e^2} = \frac{n_H n_B}{n_e n_p} = \frac{4\sqrt{2}\zeta(3)}{\sqrt{\pi}} \frac{n_B}{n_\gamma} \left( \frac{T}{m_e} \right)^{3/2} e^{B/T} \quad \text{Saha eqn.}$$

Assume recombination  $\sim X_e = 0.1$  and with  $\eta \sim 10^{-10}$  [why?]  
 $\Rightarrow T_{\text{rec}} \sim 0.31 \text{ eV}$ ,  $z_{\text{rec}} \sim 1300$  (why  $T_{\text{rec}} \ll B$ ?)

Go back to  $\Gamma_\gamma$  and compare to matter dominated expansion  $H = H_0 \Omega_m (1+z)^{3/2}$   
 $\Rightarrow T_{\text{dec}} \sim 0.26 \text{ eV}$ ,  $z_{\text{dec}} \sim 1100$  -> **origin of CMB!**

Notice that recombination and photon decoupling are two different processes, although they happen at nearly the same time.

Photons decouple because  $n_e$  drops due to recombination (else  $z_{\text{dec}} \sim 40!$ ).

# 'equilibrium' BBN

- $T > 1\text{MeV}$ : p and n in equilibrium through weak interactions
  - $T \sim 1\text{MeV}$ : weak interactions too slow,  $\nu$  freeze-out
  - Light element binding energies: a few MeV
- > when is it favourable to create the light elements?

same game as before: for species with mass number  $A = \#n + \#p$  and charge  $Z = \#p$ , assumed in equilibrium with p & n

$$n_A = g_A \left( \frac{m_A T}{2\pi} \right)^{3/2} e^{(\mu_A - m_A)/T} = g_A \frac{A^{3/2}}{2^A} \left( \frac{m_N T}{2\pi} \right)^{3(1-A)/2} n_p^Z n_n^{A-Z} e^{B_A/T}$$

where we used again  $\mu_A = Z\mu_p + (A-Z)\mu_n$ . With  $X_A = n_A A/n_N$  mass fraction:

$$X_A = \dots = (\text{const}) \left( \frac{T}{m_N} \right)^{3(A-1)/2} X_p^Z X_n^{A-Z} \eta^{A-1} e^{B_A/T}$$

# BBN II: NSE

-> System of equations for 'nuclear statistical equilibrium':

$$1 = X_n + X_p + X_2 + X_3 + \dots$$

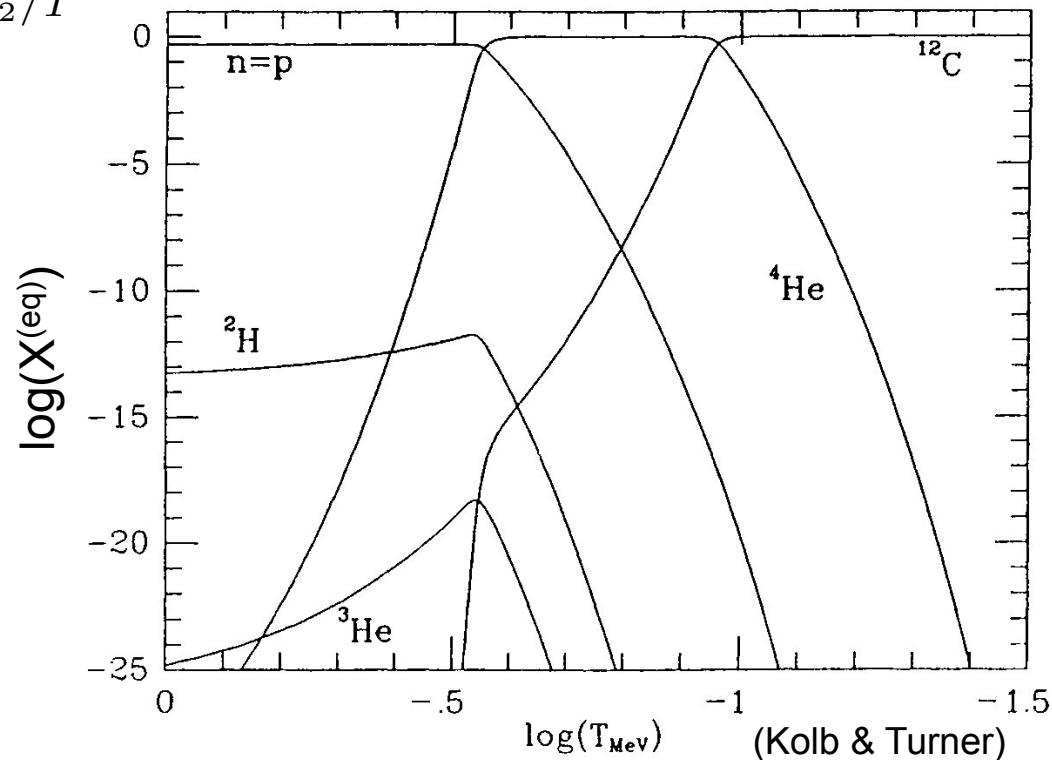
$$X_n/X_p = e^{-Q/T}$$

$$X_2 = C \left( \frac{T}{m_N} \right)^{3/2} X_p X_n \eta e^{B_2/T}$$

$$X_3 = \dots$$

etc ...

- $Q = 1.293$  MeV
- $B[{}^2\text{H}] = 2.22$  MeV
- $B[{}^3\text{H}] = 6.92$  MeV
- $B[{}^3\text{He}] = 7.72$  MeV
- $B[{}^4\text{He}] = 28.3$  MeV



# BBN III: actual BBN

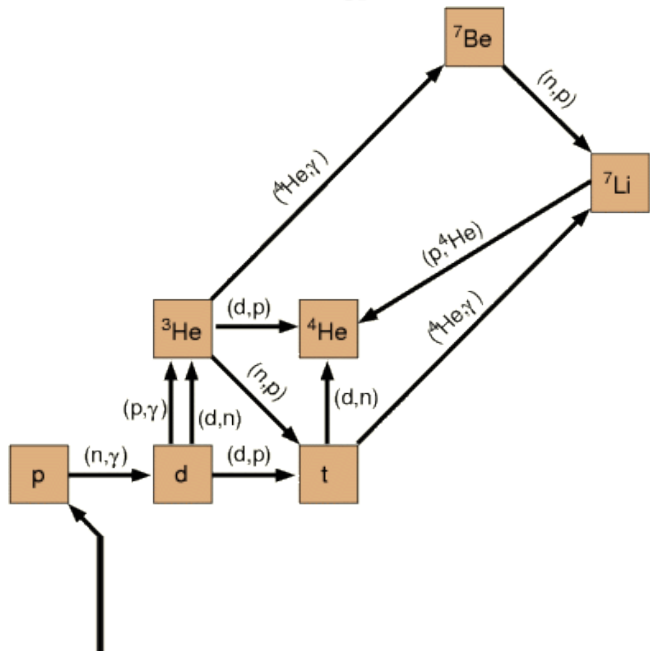
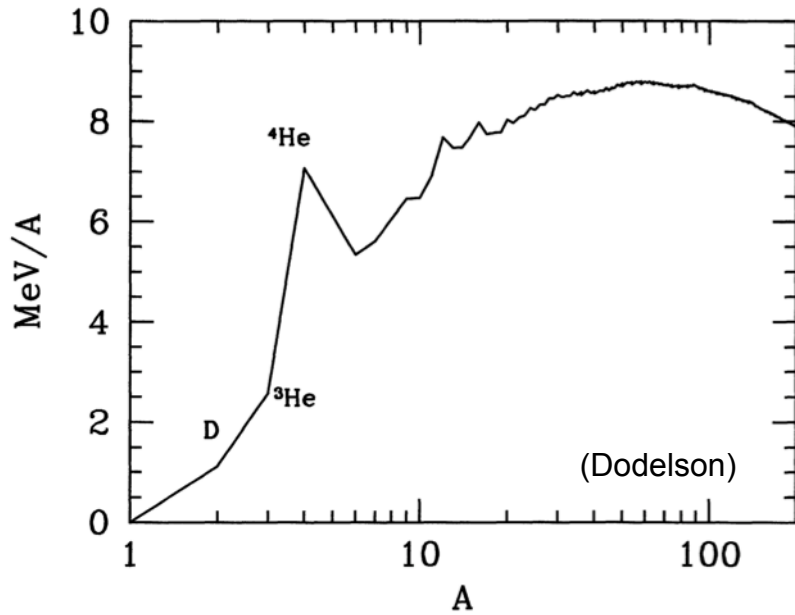
In reality the reactions drop out of equilibrium eventually, and one needs to use the **Boltzmann equation**. Results:

- $T \sim 10 \text{ MeV}+$  : equilibrium,  $X_n = X_p = 1/2$ , rest  $X \ll 1$
- $T \sim 1 \text{ MeV}$ :  $n \leftrightarrow p$  freeze-out,  $X_n \sim 0.15$ ,  $X_p \sim 0.85$ , NSE okay for rest (with  $X \ll 1$ )
- $T \sim 0.1 \text{ MeV}$ : neutrons decay,  $n/p \sim 1/8$ , NSE breaks down because  ${}^4\text{He}$  needs Deuterium ( ${}^2\text{H}$ ) which is delayed until  $0.07 \text{ MeV}$  because of high  $\eta$  and low  $B_2$ .
- $T \sim 65 \text{ keV}$ : now synthesis of  ${}^4\text{He}$  can proceed, gets nearly all neutrons that are left:

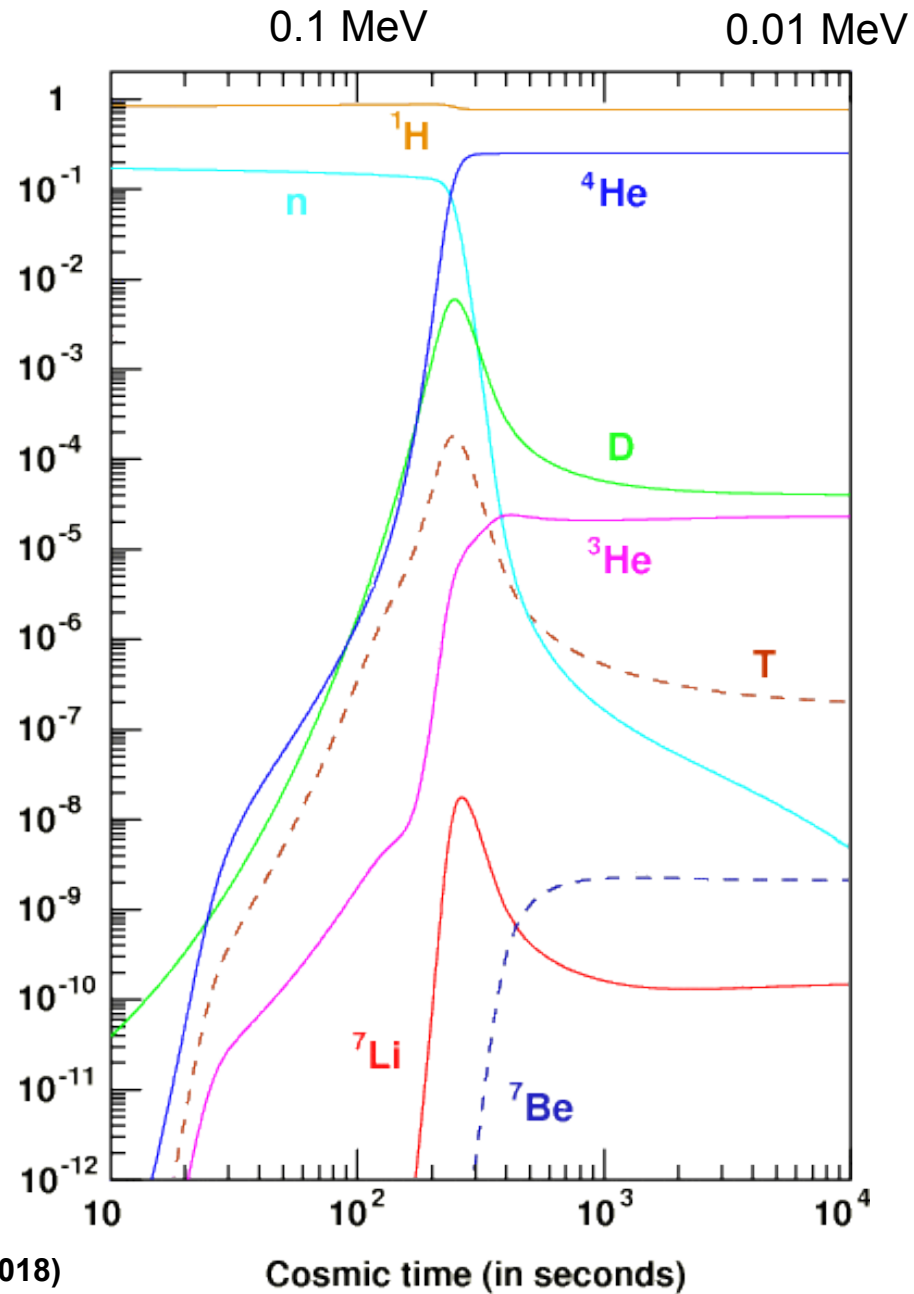
$$X_{{}^4\text{He}} = \frac{4n_4}{n_N} = 4 \frac{n_n/2}{n_n + n_p} = 2 \frac{n_n/n_p}{1 + n_n/n_p} = 2 \frac{1/8}{1 + 1/8} \approx 0.22$$

Rest is hydrogen, with some traces of  ${}^2\text{H}$ ,  ${}^3\text{He}$ ,  ${}^7\text{Li}$  and  ${}^7\text{Be}$ .

### Binding Energy per Nucleon



(figures from A. Weiss, Einstein Online Vol. 2 (2006), 1018)



Cosmic time (in seconds)



# Timeline summary

Energy ( $\gamma$ )	time	event
1 MeV	7s	neutrino freeze-out
0.5 MeV	10s	$e^+/e^-$ annihilation, $T_\nu \sim 1.4 T_\nu$
70 keV	3 minutes	BBN, light elements formed
0.77 eV	70' 000 yr	onset of matter domination
0.31 eV	300' 000 yr	recombination
0.26 eV	380' 000 yr	photon decoupling, origin of CMB
0.2 meV	14 Gyr	today

$$\frac{1 \text{ eV}}{k_B} = \frac{1.60217653(14) \times 10^{-19} \text{ J}}{1.3806505(24) \times 10^{-23} \text{ J/K}} = 11604.505(20) \text{ K.}$$

# Comparison to observations

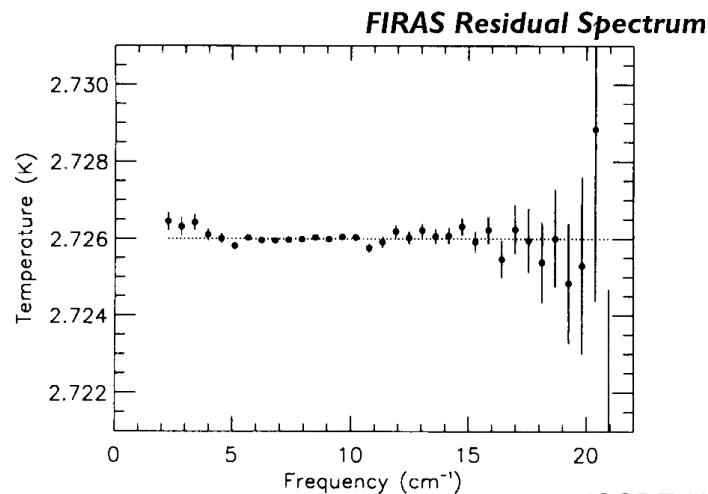
## 3 classical 'pillars' of big-bang model:

1. Hubble law -> Monday
2. Cosmic Microwave Background
3. BBN and element abundances

### *Nobel prizes:*

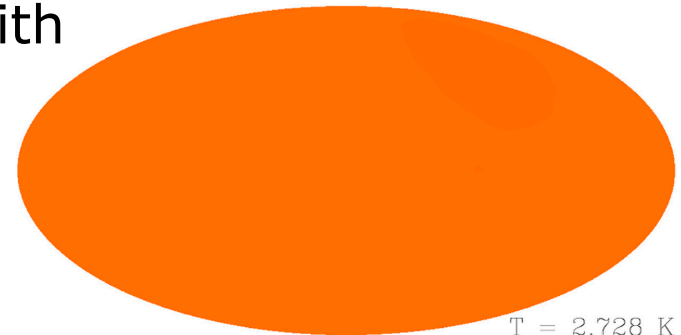
1978 CMB discovery  
2006 CMB properties  
2011 accelerated exp.

**CMB:** we expect an isotropic radiation with thermal spectrum to fill the universe

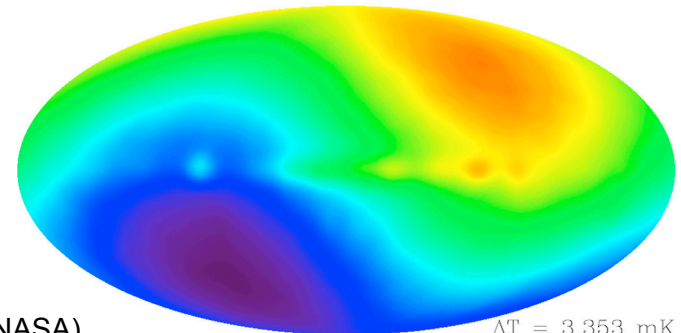


(COBE / NASA)

*DMR 53 GHz Maps*



$T = 2.728 \text{ K}$



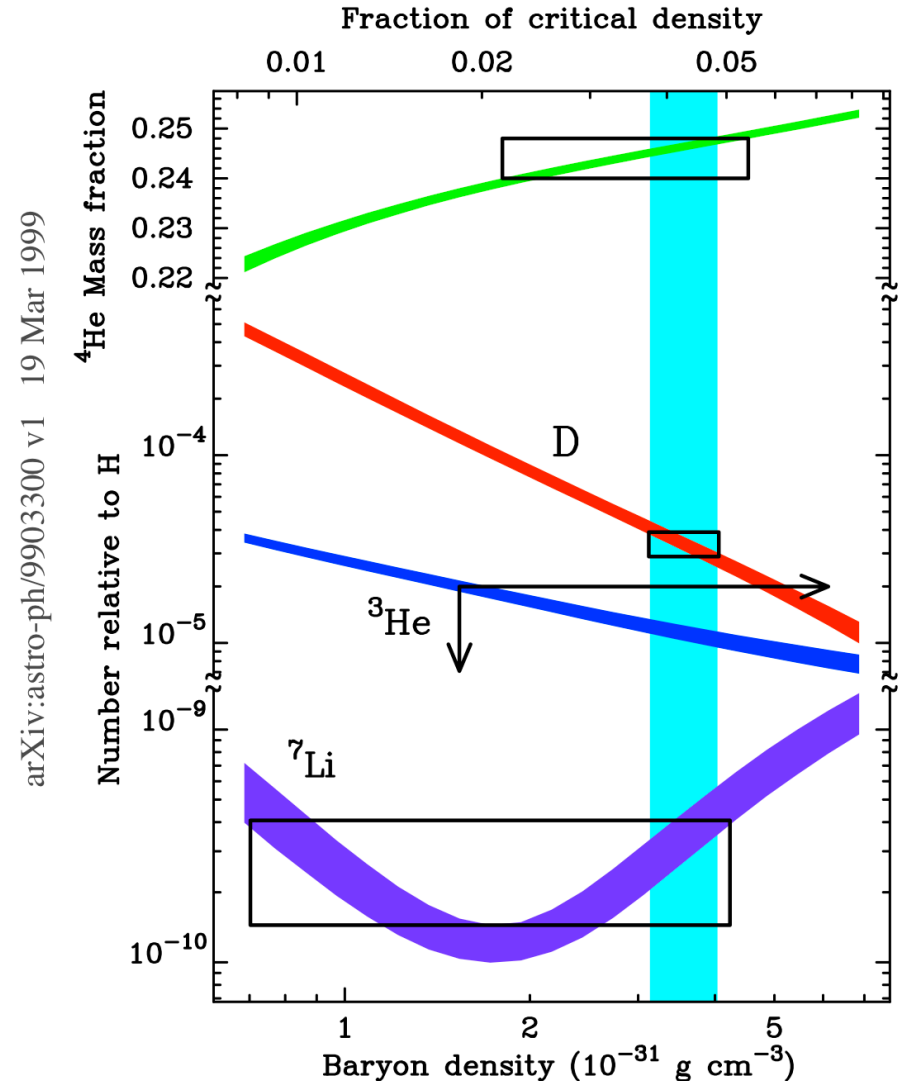
$\Delta T = 3.353 \text{ mK}$

# Comparison II : BBN

## BBN tests:

- baryon/photon ratio  $\eta$
- effective # of relativistic degrees of freedom
- consistency of different abundances

The CMB anisotropies (Valeria) also depend on the baryon abundance (even-odd peak heights). Results are consistent with BBN!  $\Omega_b \approx 0.05$  (what is all the rest??!!)



# Non-equilibrium treatment

Looking at equilibrium quantities is very useful, but:

- when / how does freeze-out really happen?
- what to do when NSE breaks down
- ...

-> Treatment with Boltzmann equation

$$L[f] = C[f]/2$$

L: Liouville operator  $\sim d/dt$

C: collision operator ( $\rightarrow$  particle physics!)

relativistic / covariant form:  $L = p^\alpha \frac{\partial}{\partial x^\alpha} - \Gamma_{\beta\gamma}^\alpha p^\beta p^\gamma \frac{\partial}{\partial p^\alpha}$

$f=f(E,t)$  -> only  $\alpha = 0$  relevant,  $p^0=E$  and  $\Gamma$  from FRW metric

$$\Rightarrow L[f] = \left[ E \frac{\partial}{\partial t} - \frac{\dot{a}}{a} \bar{p}^2 \frac{\partial}{\partial E} \right] f(E, t)$$

# Liouville operator

$$L[f] = \left[ E \frac{\partial}{\partial t} - \frac{\dot{a}}{a} \bar{p}^2 \frac{\partial}{\partial E} \right] f(E, t)$$

We only want to know the abundance  $\rightarrow$  integrate  $L[f]/E$  over 3-momentum  $p$  to get  $n$ :

- first term is just  $dn/dt$
- second term: rewrite in terms of  $p$  and integrate by parts ( $d/dE = E/p d/dp$ )

$$\frac{g}{2\pi^2} \int dp p^2 \frac{L[f]}{E} = \dot{n} + 3Hn$$

1. no collisions:  $L[f]=0 \rightarrow n \sim a^{-3}$  as expected!
2. deviations from full equilibrium will be encoded in  $\mu$

# Collision operator

Roughly: (# of particles 'in') – (# of particles 'out') of phase space volume  $d^3p d^3x$

For (reversible) scattering of type  $1+2 \leftrightarrow 3+4$  and with  $d\Pi_i \equiv \frac{g_i}{(2\pi)^3} \frac{d^3p_i}{2E_i}$

$$\frac{g_1}{(2\pi)^3} \int d^3p_1 \frac{C_1[f]}{2E_1} = \int d\Pi_1 d\Pi_2 d\Pi_3 d\Pi_4 (2\pi)^4 \delta^{(4)}(p_1 + p_2 - p_3 - p_4) |\mathcal{M}|^2 [f_3 f_4 (1 \pm f_1)(1 \pm f_2) - f_1 f_2 (1 \pm f_3)(1 \pm f_4)]$$

kinetic equilibrium & low temperature:

$$f(E) \approx e^{(\mu - E)/T}$$

$$[\dots] \rightarrow e^{-(E_1 + E_2)/T} \left[ e^{(\mu_1 + \mu_2)/T} - e^{(\mu_3 + \mu_4)/T} \right]$$

with  $n_i = e^{\mu_i/T} n_i^{(eq)}$ ,  $n_i^{(eq)} = \frac{g_i}{(2\pi)^3} \int d^3p e^{-E_i/T}$  and

$$\langle \sigma v \rangle \equiv \frac{1}{n_1^{(eq)} n_2^{(eq)}} \int d\Pi_1 d\Pi_2 d\Pi_3 d\Pi_4 e^{-(E_1 + E_2)/T} (2\pi)^4 \delta^{(4)}(p_1 + p_2 - p_3 - p_4) |\mathcal{M}|^2$$

$$\Rightarrow \dot{n}_1 + 3Hn_1 = n_1^{(eq)} n_2^{(eq)} \langle \sigma v \rangle \left( \frac{n_3 n_4}{n_3^{(eq)} n_4^{(eq)}} - \frac{n_1 n_2}{n_1^{(eq)} n_2^{(eq)}} \right)$$

# Annihilation and freeze-out

Consider annihilation processes:  $A + \bar{A} \leftrightarrow Y + \bar{Y}$   
assume Y in thermal equilibrium

$$\dot{n}_A + 3Hn_A = \langle \sigma v \rangle \left( (n_A^{(eq)})^2 - n_A^2 \right)$$

- 1)  $\langle \sigma v \rangle$  large  $\rightarrow n \rightarrow n^{(eq)}$
- 2)  $\langle \sigma v \rangle$  small  $\rightarrow n \sim a^{-3}$

Introduce  $x=m/T$  and  $Y=n/T^3$  ( $Y \sim n/s$ , constant for passive evol.)  
some algebra...

$$\frac{x}{Y_A^{(eq)}} Y_A' = -\frac{\Gamma_A}{H(x)} \left[ \left( \frac{Y_A}{Y_A^{(eq)}} \right)^2 - 1 \right]$$

$\Rightarrow$  freeze-out governed by  $\Gamma/H$  ( $\Gamma = n^{(eq)} \langle \sigma v \rangle$ )

(with  $Y^{(eq)} = 0.09 g$  (for fermions) if  $x \ll 1$  and  $Y^{(eq)} = 0.16 g x^{3/2} e^{-x}$  if  $x \gg 1$ )

# Hot and cold relics

**Hot relics:** freeze-out when still relativistic ( $x_f < 1$ )

$$\rightarrow Y_A(x \rightarrow \infty) = Y_A^{(eq)}(x_f) = 0.278 g_A / g_{*S}(x_f)$$

**Cold relics:** freeze out when  $x_f \gg 1 \Rightarrow Y_A$  suppressed by  $e^{-m/T}$

Abundance generically proportional to  $1/\sigma$

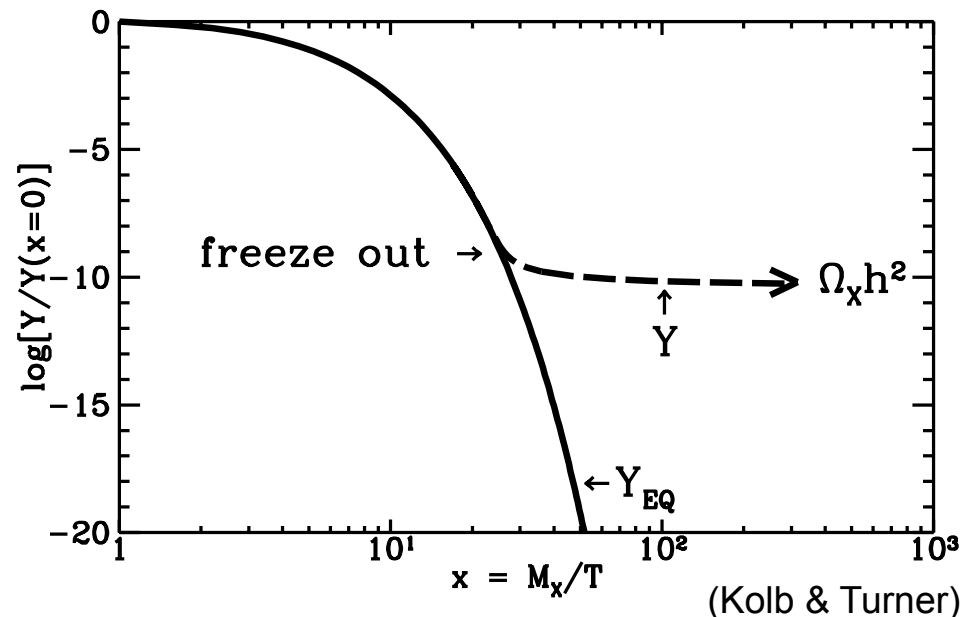
We can compute

$$\rho_{A,0} = m_A n_{A,0}$$

$$\Omega_A = \rho_{A,0} / \rho_{\text{crit}}$$

numerically, weak cross-sections lead to  $\Omega \sim 1$

**$\rightarrow$  WIMP miracle**





# Abundance estimate

- Assume  $\lambda = \frac{m^3 \langle \sigma v \rangle}{H(m)}$  roughly constant  $\rightarrow \frac{dY}{dx} = -\frac{\lambda}{x^2} (Y^2 - Y^{(eq)2})$
- $Y^{(eq)} \ll Y$  from freeze-out onwards  $\rightarrow dY/dx \simeq -\lambda Y^2/x^2$
- Integrate from freeze-out to late times  $\rightarrow 1/Y_\infty - 1/Y_f = \lambda/x_f$
- Usually  $Y_\infty \ll Y_f \rightarrow Y_\infty \approx x_f/\lambda$

• This depends only linearly on  $x_f$ , so take e.g.  $x_f = 10$

• particle density scales like  $a^3$  after freeze out

$$\Rightarrow \rho = mn_0 = mn_1 \left( \frac{a_1}{a_0} \right)^3 = mY_\infty T_0^3 \left( \frac{a_1 T_1}{a_0 T_0} \right)^3 \simeq \frac{mY_\infty T_0^3}{30}$$

(extra factor  $\sim 30$  from entropy generation in later annihilation processes)

$$\Rightarrow \text{insert } Y_\infty \dots \text{ final: } \Omega_X \sim \left( \frac{x_f}{10} \right) \left( \frac{g_*(m)}{100} \right)^{1/2} \frac{10^{-39} \text{cm}^2}{\langle \sigma v \rangle}$$

# reasons for decoupling / freeze-out

- **neutrinos** decouple from thermal equilibrium because interactions become too weak ( $\sim T^5$  scaling of interaction rate)
- **photons** (CMB) decouple from equilibrium because  $e^-$  disappear (recombination)
- **baryons** freeze-out from annihilation because of baryon-antibaryon asymmetry (no more antibaryons to annihilate with)
- **WIMP's** (dark matter) freeze out from because their density drops due to  $e^{-m/T}$  Boltzmann factor (if they are WIMP's)

# Summary

- **Methods**

- distribution function  $f(t, x, v)$
- conservation of entropy
- full / kinetic equilibrium
- Boltzmann equation (evolution of  $f$ )

- **Results**

- evolution of particle number, pressure and energy in equilibrium
- $T \sim 1/a$  (except when  $g_{*S}$  changes, e.g. particle annihil.)
- thermal history, freeze-out of particles when interactions become too slow, WIMPs
- origin of CMB
- abundances of light elements

} **observational “pillars” of the cosmological standard model**